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| Al     | PLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------|---|-------------|----------------------|---------------------|------------------|
| 1      | 09/810,792  | 03/16/2001  | Nathan G. Clark      | 990471 U2 USA       | 9172             |
| 2 × 22 | John F. Booth<br>CRUTSINGER & BOOTH<br>Suite 1950, Thanksgiving Tower |             |                      |                     |                  |
|        |   |             |                      | EXAM                | EXAMINER         |
|        |   |             |                      | NELSON,             | PETER A          |
|        | 1601 Elm Street<br>Dallas, TX 752                                     |             |                      | ART UNIT            | PAPER NUMBER     |
|        | <b>Dunius</b> , 111 70201   |             |                      | 3641                |                  |

DATE MAILED: 05/29/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No. Application                      |                          | nt(s)                  |              |
|--|--|--------------------------|------------------------|--------------|
| Office Action Summary  | Examiner   |                          | Group Art Unit         |              |
| —The MAILING DATE of this communication appear   | rs on the cover sh                               | eet beneath the co       | orrespondence ad       | ddress       |
| Period for Reply   |  |                          |                        |              |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO CE THIS COMMUNICATION.  | O EXPIRE THE                                     | MONTH(S)                 | FROM THE MAIL          | ING DATE     |
| <ul> <li>Extensions of time may be available under the provisions of 37 CFR 1 from the mailing date of this communication.</li> <li>If the period for reply specified above is less than thirty (30) days, a re</li> <li>If NO period for reply is specified above, such period shall, by default,</li> <li>Failure to reply within the set or extended period for reply will, by statu</li> </ul> | ply within the statutory<br>expire SIX (6) MONTH | minimum of thirty (30) o | days will be considere | ed timely.   |
| Status   |  | •                        |                        |              |
| ☐ Responsive to communication(s) filed on  |  | A Miles of Approx        |                        |              |
| ☐ This action is <b>FINAL.</b>   | •  | _                        |                        |              |
| ☐ Since this application is in condition for allowance except accordance with the practice under Ex parte Quayle, 193  |  |                          | the merits is clos     | sed in       |
| Disposition of Claims  | •  |                          |                        |              |
| $\sqrt{\text{Claim(s)}}$   |  | is/are p                 | ending in the appl     | ication.     |
| Of the above claim(s)  |  | is/are w                 | ithdrawn from cor      | nsideration. |
| ☐ Claim(s)   |  | is/are a                 | llowed.                |              |
| Claim(s) 1-37  |  | is/are re                | eiected.               |              |
| ☐ Claim(s)————————————————————————————————————   |  |                          |                        |              |
| □ Claim(s)   |  | are sub                  | iect to restriction o  | or election  |
| Application Papers   |  | require                  |                        |              |
| ☐ See the attached Notice of Draftsperson's Patent Drawing   | g Review, PTO-948.                               |                          |                        |              |
| ☐ The proposed drawing correction, filed on  | is 🗆 approv                                      | red □ disapproved        | l.                     |              |
| ☐ The drawing(s) filed on is/are object  | ed to by the Examir                              | ner.                     |                        |              |
| ☐ The specification is objected to by the Examiner.  |  |                          |                        |              |
| ☐ The oath or declaration is objected to by the Examiner.  |  |                          |                        |              |
| Priority under 35 U.S.C. § 119 (a)-(d)   |  |                          |                        |              |
| <ul> <li>□ Acknowledgment is made of a claim for foreign priority un</li> <li>□ All □ Some* □ None of the CERTIFIED copies of t</li> <li>□ received.</li> <li>□ received in Application No. (Series Code/Serial Numbe</li> <li>□ received in this national stage application from the Inte</li> </ul>  | he priority documer                              | nts have been            |                        | ·            |
| *Certified copies not received:  | •  | ,                        |                        |              |
| Attachment(s)  |  |                          | · ·                    |              |
| ☐ Information Disclosure Statement(s), PTO-1449, Paper No.   | n(e)   | ☐ Interview Summ         | any PTO-412            |              |
| Notice of Reference(s) Cited, PTO-892  | J(3)   | ☐ Notice of Inform       | •                      | ion PTO-15   |
| ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948  | 3  | □ Other                  |                        |              |
| ·  | Action Summary                                   |                          |                        |              |
| S. Patent and Trademark Office   | 0: 1947-433-221/62717                            |                          | Part of Pape           |              |

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1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 1-3, 5, 10-16, 36 and 37 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Kock et al.

These claims recite a liner for a shaped charge made from the composition of Kock et al. They fail to state any shaping, forming or construction of the liner, with only the composition recited herein. Kock et al teach the use of up to 95% tungsten with a binder metal such as copper. The reference teaches using this as an impact projectile. It meets the structure of the claims, however, for no particular structure is recited. Alternatively, it would be obvious to form the penetrating composite of Kock et al into a shaped charge liner.

4. Claims 4, 7-9, 17-30 and 32-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kock et al in view of Riggs.

Kock et al teach the use of high percentage metal penetrators that can be formed through the use of a binder material addition. Riggs teaches the enhancement of the same idea up to 99% base metal formation through the use of oil and graphite as an aid

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in maintaining structural toughness. It also teaches the formation of said material into a conical liner. It would be obvious to an artisan desiring to achieve a higher percentage of base component (tungsten) in Kock et al to use the lubricating components of Riggs when forming it into liner shape.

5. Claims 6 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kock et al in view of Reese et al.

Kock et al teach the use of a variety of binder metals including some of those from applicants' list. Reese et al teach that in making tungsten composites, it is preferred to use a more malleable metal to aid in liner formation. This includes lead. It would be obvious to one skilled in the art desiring to ensure that the high percentage tungsten composite of Kock et al does not become brittle to employ the lead of Reese et al as the powdered metal binder therein.

Any inquiry concerning this communication should be directed to Peter Nelson at telephone number 703-306-4166.

Nelson/cw May 21, 2002 PETER A. NELSON RIMARY EXAMINER